





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/898,521

Franklin Schellenberg

4023 Villa Vista Palo Alto, CA 94306 07/03/2001

Charles D. Schaper

CONFIRMATION NO. 7538

FORMALITIES LETTER

OC000000006457697*

Date Mailed: 08/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/28/2001 TBESHAH1 00000030 09898521

02 FC:103 03 FC:102 04 FC:105

710.00 OP 126.00 OP 160.00 OP

130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$286.
 - \$126 for 7 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1126.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE







BOX MISSING PARTS

Agent Docket No.: Stanford MxL 01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)
Title: Molecular Transfer lithography))
Filed: July 3, 2001)) `
Application No: 09 / 898,521)))
Charles D. SCHAPER)))
In the Application of) \

TRANSMITTAL LETTER

Palo Alto, California 94306 September 25, 2001

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) by Express Mail is the Response to Missing Parts for the patent application of Charles D. Schaper, assigned an application number 09 / 898,521 and entitled MOLECULAR TRANSFER LITHOGRAPHY.

- X 1. A newly executed Combined Declaration and Power of Attorney is attached.
- X 2. An Assignment of the invention to The Board of Trustees of the Leland Stanford Junior University is enclosed, together with a Recordation Cover Sheet in accordance with 37 C.F.R. § 3.31 and our check including the amount of \$ 40.00 to cover the recordation fee. Please record this assignment in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of Form PTO-1533 (Notice to file Missing parts) is enclosed.
- X 4. A Preliminary Amendment is enclosed.



COMPUTATION OF FEE

MADEMAN	Number		Number		Rate		
	Filed	F	Extra				
Basic Fee	·# ·				\$710		\$ 710
Filing Fee Surcharge (37 CFR 1.16(e))					\$ 130		\$ 130
Total Claims	27 - 20	=	7	X	\$ 18	=	\$ 126
Independent Claims	5 - 3	=	2	X	\$ 80	=	\$ 160
Multiple Dependent Claim				+			
Assignment Recordation				+	\$ 40		\$ 40
	TOTAL						\$ 1166

Solution See Solution S

Please address all further correspondence to

Franklin Schellenberg 4023 Villa Vista Palo Alto, CA 94306

Respectfully submitted,

Franklin Schellenberg Registration No. 47,315

Day: 408-487-7082 Eve: 650-856-3975

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number ET 843 781 297 US

Date of Deposit September 25, 2001

I hereby certify that this paper or fee is being deposited within the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington DC 20231

Franklin Schellenberg

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)